## Liquid Capital Statement

for the month of 28-FEB-17

## of M/s. FDM Capital Securities (Pvt.)Ltd

Submission Date 15-MAR-2017 15:54:06

Page 1 Of 2

iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Cash in hand 1.19 Total Assets  2 Liabilities 2.1 Irade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers 2.2 Current Liabilities 510,520 0 510,520	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.1   Property & Equipment   17,841,081	1	Assets			
11   Internation Code Securities   9,179,890   9,179,890   9,179,890   1   1   Investment in Social Securities   1   1   1   1   1   1   1   1   1	1.1		17,841,061	17,841,061	
Investment in Debt. Securities		Intangible Assets	9,170,850	9,170,850	
Fill Stock Phan					
1.5% of the balance sheet value in the case of tenue upto 1 year.	1.4				
1.3% of the balance devel value, in the case of fenuer from than 3 years.					
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II. 15% of the balance sheet value, in the case of tenue of more than 3 yeaes.		ii. 12.5% of the balance sheet value in the case of tenure from 1-3 years.			
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respective securities whichever is higher ii if unitied, (00% of canying value.  It have threat in absolute is the provided of	1.5	Investment in Equity Securities			
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ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances ii. Bank Balance-proprietory accounts iii. Bank balance-customer accounts 1.19 Total Assets 1.101 Assets 1.19 Total Assets 1.10 Total Assets 1.10 Total Assets 1.10 Total Assets 1.11 Total Assets 1.12 Trade Payables 1.13 Payable to exchanges and clearing house 1.14 Payable to customers 1.15 Total Assets 1.16 Total Assets 1.17 Total Assets 1.18 Total Assets 1.19 Total Assets 1.10 Total Assets 1.10 Total Assets 1.10 Total Assets 1.11 Total Assets 1.12 Trade Payables 1.13 Trade Payables 1.14 Trade Payables 1.15 Total Assets 1.15 Total Assets 1.16 Total Assets 1.17 Total Assets 1.18 Total Assets 1.18 Total Assets 1.19 Total Assets 1.10 Total Assets 1.10 Total Assets 1.10 Total Assets 1.10 Total Assets 1.11 Total Assets 1.12 Trade Payables 1.13 Total Assets 1.14 Total Assets 1.15 Total Assets 1.15 Total Assets 1.16 Total Assets 1.17 Total Assets 1.18 Total Assets 1.19 Total Assets 1.10 Total Assets 1.11 Total Assets 1.12 Total Assets 1.12 Total Assets 1.13 Total Assets 1.14 Total Assets 1.15 Total					
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iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Balance sheet value  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand  1.19  Total Assets  2.1  Irrade Payables i. Payable to exchanges and clearing house ii. Payable to customers iii. Payable to customers 2.2  Current Liabilities 1.39,048,886  21,427,514  21					
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  1.18 Cash and Bank balances  i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand  1.19 Total Assets  2.1 Itabilities  2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable to customers iii. Payable to customers  2.2 Current Liabilities i. Statutory and regulatory dues  5 10,520  0 21,427,514 21			26,577,421	0	26,577,421
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand  1.19 Total Assets  2 Liabilities  2.1 Irade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers  2.2 Current Liabilities  2.3 Current Liabilities  3 Current Liabilities 5 Current Liabilities 6 Current Liabilities 7 Current Liabilities 8 Current Liabilities 8 Current Liabilities 9 Current Liabilities 9 Current Liabilities 9 Current Liabilities 1 Cash and Rarket value of Security and Repulse Adaptive Labelet Value of Security Adaptive Labelet Value of Security Adaptive Labelet Value of Sec		iv. Balance sheet value	00.040.000	24.42= =4.4	0.1.107.7.1
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand  1.19 Total Assets  2 Liabilities  2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable to customers iii. Payable to customers  2.2 Current Liabilities  2.3 Current Liabilities i. Statutory and regulatory dues  5 10,520  6 99,458,052  0 106,390,973  0 106,390,9			39,048,886	21,427,514	21,427,514
Vi. 100% haircut in the case of amount receivable form related parties.		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
1.18       Cash and Bank balances         i. Bank Balance-proprietory accounts       99,458,052       0       99,458,052         ii. Bank balance-customer accounts       106,390,973       0       106,390,973         iii. Cash in hand       645,650,854       343,200,238       306,256,758         2       Liabilities       5       10,500,000       10,500,0					
Eank Balance-proprietory accounts   99,458,052   0   99,458,052     ii. Bank balance-customer accounts   106,390,973   0   106,390,973     iii. Cash in hand	1 18				
ii. Bank balance-customer accounts   106,390,973   0   106,390,973       iii. Cash in hand         1.19   Total Assets   645,650,854   343,200,238   306,256,758     2			99.458.052	0	99.458.052
1.19       Total Assets       645,650,854       343,200,238       306,256,758         2       Liabilities          2.1       Trade Payables <ul> <li>i. Payable to exchanges and clearing house</li> <li>ii. Payable against leveraged market products</li> <li>iii. Payable to customers</li> </ul> 2.2     Current Liabilities         i. Statutory and regulatory dues       510,520       0       510,520		ii. Bank balance-customer accounts			
2 Liabilities 2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers  2.2 Current Liabilities i. Statutory and regulatory dues  510,520  0 510,520	1 10		645 650 854	3/13 200 238	306 256 758
2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers  Current Liabilities i. Statutory and regulatory dues  Trade Payables  106,160,174  0 106,160,174  2.2 Current Liabilities 510,520 0 510,520			040,000,004	J+J,ZUU,ZJO	300,230,736
i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers  Current Liabilities i. Statutory and regulatory dues  ii. Payable to exchanges and clearing house  106,160,174  0 106,160,174  2.2 Current Liabilities i. Statutory and regulatory dues  510,520 0 510,520					
ii. Payable against leveraged market products       106,160,174       0       106,160,174         2.2       Current Liabilities       0       510,520       0       510,520         i. Statutory and regulatory dues       510,520       0       510,520					
2.2 Current Liabilities i. Statutory and regulatory dues 510,520 0 510,520		ii. Payable against leveraged market products			
i. Statutory and regulatory dues 510,520 0 510,520	2.2		106,160,174	0	106,160,174
	2.2		510 520	0	510 520
		ii. Accruals and other payables	510,520	0	310,320

## Liquid Capital Statement

for the month of 28-FEB-17

## of M/s. FDM Capital Securities (Pvt.)Ltd

Submission Date 15-MAR-2017 15:54:06

Page 2 Of 2

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	ii. Staff retirement benefits iii. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted ii. Subordinated loans which do not fulfill the conditions specified by SECP	2,500,000	2,500,000	
2.5	Total Liabilites	109,170,694	2,500,000	106,670,694
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and			
	(II) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price;			
	the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
2.4	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
	Amount Payable under REPO Repo adjustment			
0.7	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securities.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received , less value of any securites deposited as collateral by the purchaser after			
3.8	applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts ii. In case of proprietary positions , the total margin requirements in respect of open positions to the	729,999	729,999	729,999
3.10	extent not already met Short sellI positions			
3.10	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircust less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
2 1 1	after applying haircuts.	700 000	700.000	700 000
	Total Ranking Liabilites	729,999	·	·
3.12	Liquid Capital	535,750,161	339,970,239	198,856,065